| No. | Comments received | | Author | | Response | | | Action | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Family Offices Legal Structure | | | | | | | | | |
| Q1. Is the proposed characterisation of the legal arrangements associated with a SFO appropriate? If not, how could it be improved? | | | | | | | | | |
| 1.1 |  | |  | | |  | |  | |
|  |  | |  | | |  | |  | |
| Q2. Should there be additional requirements in relation to the identification of Single Family Members beyond those contemplated in the Proposed Regulations? | | | | | | | | | |
| 2.1 | |  | |  | |  | | |  |
|  | |  | |  | |  | | |  |
| Q3. Do you agree with the expansion of Single Family Offices under the Current Regulations to allow a Family Office to serve more than one Single Family? | | | | | | | | | |
| 3.1 | |  | |  | | |  | |  |
|  | |  | |  | | |  | |  |
|  | |  | |  | | |  | |  |
| Single Family Requirements | | | | | | | | | |
| Q4. Is the current definition of a Single Family as refined adequate or appropriate? If not, how could it be improved? | | | | | | | | | |
|  | |  | |  | | |  | |  |
| 4.1 | |  | |  | | |  | |  |
|  | |  | |  | | |  | |  |
| Q5. What provision, if any, should be made for any variation of the requirements for a Single Family Office approved by the Registrar as part of the initial application? | | | | | | | | | |
| 5.1 | |  | |  | | |  | |  |
|  | |  | |  | | |  | |  |
| Q6. Is the altered threshold for recognition as a SFO appropriate? Will it present any undesirable results given that in general company accounts other than those for SFOs and small private companies will be required to be disclosed? | | | | | | | | | |
| 6.1 | |  | |  | | |  | |  |
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| Reporting and Confidentiality of information |

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| Q7. Are the proposed arrangements for reporting of information appropriate and adequate? If not, what changes should be made? | | | | |
| 7.1 |  |  |  |  |
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| No. | Comments received | Author | | Response | | Action | |
| Q8. Are the proposed arrangements for confidentiality of information supplied appropriate and adequate? If not, what changes should be made? | | | | | | | | |
| 8.1 |  | |  | |  | |  | |
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| Operating outside the DIFC |

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| Q9. Are there any issues which arise in connection with operations by Registered Persons outside the DIFC which could usefully be addressed in the Proposed Regulations which are not? | | | | |
| 9.1 |  |  |  |  |
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| Miscellaneous enhancements |

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| Q10. Do you have any other comments or suggestions for improvement of the Proposed Regulations? | | | | | | | | |
| No. | Comments received | Author | | Response | | Action | |
| 10.1 |  | |  | |  | |  | |
|  |  | |  | |  | |  | |
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